Influence of Premorbid Psychopathology and Lesion Location on Affective and Behavioral Disorders After Ischemic Stroke

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Early recognition of psychopathological symptoms (PSs) after stroke is important because they greatly influence the recovery of patients. The aim of this study was to investigate the predictive factors of PSs occurring in patients with ischemic stroke. Eighty-nine patients were prospectively evaluated upon admission and 4, 12, and 26 weeks later with the Neuropsychiatric Inventory, Hamilton's Rating Scales for Depression and Anxiety, and a battery of neuropsychological and functional scales. Depression and apathy were the most frequent PSs detected after stroke. Premorbid psychopathologies and righthemisphere location were the main predictive indicators of early and long-term PSs.

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A ssessment of behavioral and affective disorders in patients who have suffered an ischemic stroke is essential because these patients commonly suffer from psychopathological symptoms (PSs) that can greatly influence their recovery and quality of life.^{1,2}

Several studies have drawn attention to the correlation between PSs and stroke. Depression and apathy have been reported as the most frequent disorders.^{3–6} Few studies have focused on the occurrence of depression or other psychiatric disorders in the acute and early post-acute phase of stroke, which is a crucial period for patients' rehabilitative treatment.⁷ Some authors have investigated the influence of premorbid personality traits on the occurrence of PSs after stroke,^{4,8} but psychopathological status before the event and its predictive potential for poststroke PSs have not been studied.

Some factors occurring in the acute phase of stroke, such as low consciousness level, aphasia, or comorbid pathologies, can limit patients' abilities to manifest and communicate their PSs.⁷ The Neuropsychiatric Inventory (NPI) uses a reliable informant to quantitatively assess a patient's behavioral symptoms over the past 4 weeks.⁹ The NPI was designed for patients with dementia, but it has also been used in such neurological disorders as multiple sclerosis¹⁰ and stroke.^{5,6} Given that this test does not require the patient's collaboration, the NPI can be used to provide information about the patient's psychopathological status before, and in the weeks immediately after, the occurrence of the stroke.

The aim of this study was to identify predictive factors of PSs associated with ischemic stroke that could be detected at the onset of the stroke, with a special focus on premorbid psychopathology, in order to identify a patient profile at risk of developing poststroke PSs. We also evaluated the frequency and evolution of these PSs as well as their correlation with the patient's cognitive and functional status.

METHOD

Participants We prospectively studied a population of patients with ischemic stroke who were admitted to the Neurology Unit in the Hospital Virgen del Puerto. They were evaluated upon admission and again at 4, 12, and 26 weeks after their strokes. The recruitment period was from May 2007 to December 2008.

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Inclusion/Exclusion Criteria Patients admitted to the hospital with an acute cerebral infarct (CI) confirmed by neuroimaging techniques, and who had a responsible caregiver, qualified for the study. The exclusion criteria were the following: knowledge or suspicion of previous dementia or cognitive decline, as assessed by clinical record or by means of the Jorm's Informant Questionnaire on Cognitive Decline in the Elderly (IQCODE);¹¹ cerebral hemorrhage or another suspected etiology of brain injury (tumor or others); transient ischemic attack; persistent coma or severe alteration of consciousness 4 weeks after the stroke; and death or appearance of a new brain lesion before 4 weeks had passed (stroke recurrence, cerebral hemorrhage, or others).

Instruments We used the following instruments for patient evaluation: 1) A clinical record form for clinical, demographic, and social variables: age, gender, years of formal education, documented previous clinical diagnosis of hypertension, diabetes, or hypercholesterolemia; current smoking habit or hazardous/harmful alcohol consumption (defined as current intake of >280 g per week in men and >168g per week in women, according to the recommended criteria of the World Health Organization¹²); and documented before the diagnosis of depression, anxiety disorder, or psychosis; 2) The Spanish versions of the NPI,¹³ the 17-item Hamilton Rating Scale for Depression (Ham-D),¹⁴ and the Hamilton Rating Scale for Anxiety (Ham-A)¹⁵ were used for psychopathological assessment. The Ham-D has been identified as a reliable instrument for the diagnosis of poststroke depression in previous studies.^{7,16} The NPI is composed of 12 subscales that measure different behavioral symptoms (delusions, hallucination, agitation, dysphoria, anxiety, euphoria, apathy, disinhibition, irritability, aberrant motor behavior, nighttime behavioral disturbances, and eating abnormalities). Each subscale rates the frequency and severity of the behavior as well as the caregiver's resulting distress. The frequency rating multiplied by the severity rating gives a composite score for each behavior. A global score for the NPI is generated by summing up the total scores of the individual subscales.⁹ 3) The Spanish versions of the Folstein's Mini-Mental State Exam (MMSE),¹⁷ the short form of the IQCODE,¹⁸ the Frontal Assessment Battery (FAB),19 and the Clock-Drawing Test²⁰ for cognitive evaluation; 4) the Modified Rankin Scale,²¹ Barthel Index,²² Lawton Index,²³ and the Canadian Neurological Scale²⁴ for functional and neurological assessment.

Procedures All patients were evaluated for inclusion within 48 hours after occurrence of the stroke. After checking inclusion and exclusion criteria, we obtained informed consent from the patient and/or caregiver. The clinical record form, NPI, IQCODE, Barthel Index, and Lawton Index were then administered to the caregiver. Patients with data from the IQCODE that suggested previous cognitive decline or dementia were excluded in order to avoid the inclusion of patients with previous psychopathology associated with neurodegenerative disease, which could bias the study. For those patients who qualified to participate in the study, all of the psychopathological, cognitive, and functional scales described in the instruments section were applied at 4, 12, and 26 weeks after the event.

CI locations were clinically diagnosed according to the Oxfordshire Community Stroke Project criteria²⁵ and were confirmed by computerized tomography or brain MRI. For the purpose of this study, CIs were classified as right hemispheric, left hemispheric, or brainstem/cerebellum lesions, distinguishing whether or not they were lacunar infarcts.

Statistical Analysis Statistical analyses were performed with the SPSS program (Version 11.0). We used descriptive statistics to analyze frequency distributions and summarize data. The Student's *t*-test was used for comparison of means, and Pearson's coefficient was used to examine correlations. Multivariate analysis was also performed, with a backward, stepwise linear-regression analysis. A p value of <0.05 was regarded as statistically significant.

RESULTS

Subject Characteristics and Clinical Features

Eighty-nine patients fulfilled the inclusion criteria and met none of the exclusion criteria. Table 1 details their baseline demographic data, medical and psychiatric antecedents, and clinical characteristics.

The number and percentage of patients who presented at least one PS, defined as a score >0 in any NPI subscale, at baseline (before stroke) and 4, 12, and 26 weeks after the acute event, are represented in Table 2.

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Eighty-three patients were able to accomplish an assessment with Hamilton's scales (Ham-D and Ham-A). Among the other six patients, five had a severe aphasic disorder, and the remaining patient refused to perform

TABLE 1.	Demographic and Clinical Characteristics of the Study
	Sample (N=89)

Baseline Characteristics	Ν	%
Male/female	46/43	51.7/48.3
Education, years		
<3	39	43.8
4-8	40	44.9
>8	10	11.2
Age, years		
<50	7	7.9
51-60	9	10.1
61–70	21	23.6
71-80	36	40.4
>80	16	18.0
Medical antecedents		
Hypertension	59	66.3
Diabetes	30	33.7
Hypercholesterolemia	38	42.7
Alcohol consumption	16	17.9
Smoking	26	29.2
Psychiatric antecedents		
Depression	13	14.6
Anxiety	5	5.6
Psychosis	0	0
Lesion location		
Right hemisphere	30	33.7
Left hemisphere	45	50.6
Brain stem/cerebellum	14	15.7
Aphasia		
Motor aphasia	7	7.9
Sensory aphasia	1	1.1
Mixed aphasia	5	5.6
······································	Mean	SD
Canadian Neurological Scale	7.36	1.95
MMSE	21.63	6.15
FAB	9.63	4.79

SD: standard deviation; MMSE: Mini-Mental State Exam; FAB: Frontal Assessment Battery.

the test; 38% of patients showed detectable depression on the Ham-D (defined as a score >7). Moderate-tosevere depression (defined as a score >14) was seen in 19.9% of patients, whereas anxiety was detected with the Ham-A (defined as a score >5) in 33.7% of patients. Moderate-to-severe anxiety (defined as a score >14) was detected in 6% of patients. Pearson's correlation coefficient between the NPI Dysphoria subscale and Ham-D scores at Week 4 was 0.837 (p<0.01), and the correlation coefficient between the NPI Anxiety subscale and Ham-A scores was 0.763 (p<0.01).

A comparison of the mean scores in the NPI-4W according to the presence or absence of vascular risk factors (HTA, diabetes, hypercholesterolemia, smoking), alcohol consumption, and psychiatric antecedents (depression, anxiety, or psychotic disorders) did not reveal any statistically significant differences. There were also no statistically significant differences on any of the NPI subscales regarding stroke location or size (lacunar versus nonlacunar infarcts).

Fifty-five patients completed the study up to Week 26 (Table 3, Figure 1). In this population, the mean NPI Global score and the mean scores for the Caregiver's Distress, Dysphoria, and Apathy scales were significantly increased (p<0.05) at Weeks 4, 12, and 26, as compared with the baseline NPI. However, the mean NPI Global score and Anxiety score significantly decreased between Weeks 4 and 12. There were no statistically significant differences in the mean NPI Global score or in any NPI subscale between Weeks 12 and 26.

Correlation With Other Variables

Table 4 shows the NPI-4W subscales that correlated significantly with cognitive and functional variables at

NPI Subscales	Baseline (N=89)	Week 4 (N=89)	Week 12 (N=68)	Week 26 (N=55)		
NPI (global)	41 (46.1)	62 (69.7)	47 (69.1)	30 (55.5)		
Caregiver distress	39 (43.8)	60 (67.4)	47 (69.1)	30 (55.5)		
Delusions	2 (2.2)	2 (2.2)	1 (1.5)	1 (1.8)		
Hallucinations	0 (0)	2 (2.2)	1 (1.5)	1 (1.8)		
Agitation/aggression	4 (4.5)	10 (11.2)	8 (11.8)	4 (7.3)		
Dysphoria	21 (23.6)	42 (47.2)	28 (41.2)	18 (32.7)		
Anxiety	14 (15.7)	26 (29.2)	17 (25.0)	11 (20.0)		
Euphoria	0 (0)	2 (2.2)	2 (3.0)	0 (0)		
Apathy	12 (13.5)	31 (34.8)	24 (35.3)	19 (34.5)		
Disinhibition	0 (0)	1 (1.1)	3 (4.4)	0 (0)		
Irritability	10 (12.4)	25 (28.1)	16 (23.9)	13 (23.6)		
Motor disturbance	0 (0)	4 (4.5)	2 (2.9)	3 (5.6)		
Sleep disturbance	21 (23.6)	25 (28.1)	18 (26.5)	11 (20.0)		
Eating disturbance	5 (5.6)	7 (7.9)	7 (10.3)	5 (9.1)		

TABLE 2. Number and Frequency of Patients With Any Psychopathological Symptom (Score >0) Detected by the Neuropsychiatric Inventory (NPI) at Baseline Evaluation (Pre-Stroke Situation) and at Weeks 4, 12, and 26 After Stroke

Week 4. The Dysphoria score correlated negatively with more cognitive (Clock-Drawing Test, MMSE, and FAB) and functional (Lawton Index and Rankin Scale) scales. On the other hand, functional variables were negatively correlated with more psychopathological variables, including Caregiver's Distress.

In the group of patients who completed the follow-up (N=55), apathy was found to be significantly correlated

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IABLE 3. Comparison of Mean Scores on the Neuropsychiatric Inventory (NPI) at Baseline Assessment (Reflecting Pre-Stroke Situation) and 4, 12, and 26 Weeks After Stroke (N=55)									
Variable	Baseline	Week 4	Week 12	Week 26					
NPI (global)	3.56 (6.57)	9.44 (11.11)	6.67 (8.51)	6.42 (9.92)					
Caregiver distress	1.60 (2.34)	3.71 (4.20)	3.57 (4.21)	3.38 (4.70)					
Delusions	0.04 (0.27)	0.07 (0.53)	0.00 (0.00)	0.02 (0.13)					
Hallucinations	0.00 (0.00)	0.07 (0.53)	0.11 (0.81)	0.04 (0.27)					
Agitation/aggression	0.13 (0.61)	0.53 (1.60)	0.22 (0.66)	0.20 (0.89)					
Dysphoria	0.76 (1.68)	2.18 (2.97)	1.57 (2.29)	1.58 (2.82)					
Anxiety	0.69 (1.73)	1.42 (2.30)	0.72 (1.65)	0.62 (1.42)					
Euphoria	0.00 (0.00)	0.00 (0.00)	0.06 (0.30)	0.00 (0.00)					
Apathy	0.69 (2.11)	1.98 (3.18)	1.63 (2.60)	1.84 (3.32)					
Disinhibition	0.00 (0.00)	0.11 (0.80)	0.17 (0.86)	0.00 (0.00)					
Irritability	0.38 (1.09)	1.11 (2.31)	1.00 (2.08)	0.95 (2.28)					
Motor disturbance	0.00 (0.00)	0.18 (0.79)	0.13 (0.67)	0.26 (1.10)					
Sleep disturbance	0.89 (1.72)	1.40 (2.71)	0.83 (1.83)	0.71 (1.72)					
Eating disturbance	0.18 (0.69)	0.29 (1.03)	0.30 (0.88)	0.22 (0.71)					

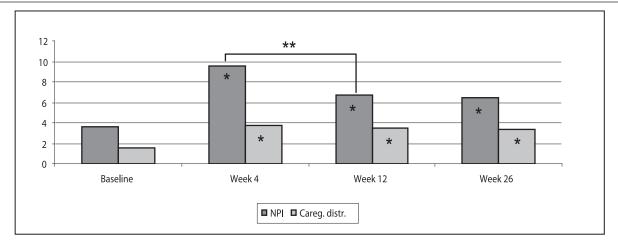
Values are mean (standard deviation), Bolded values are significantly elevated as compared with baseline (Student's t-test; p < 0.05).

with the Lawton Index (p<0.001) and Rankin score (p<0.05) at Week 12. At Week 26, the Lawton Index was significantly correlated with Dysphoria (p<0.05) and Apathy (p<0.001) scores, but there were no other correlations between psychopathological variables and the rest of the cognitive and functional scales.

Predictive Factors

Predictive factors for the occurrence of early and longterm PSs after stroke were determined by means of a backward, stepwise linear-regression analysis, which produced explanatory models for some of the psychopathological variables. The NPI Global score and NPI subscales scores at 4 (NPI-4W) and 26 weeks (NPI-26W) after stroke were introduced as dependent variables. The following variables, which could be assessed at the moment of admission, were included as independent variables: baseline NPI Global score and baseline NPI subscales (reflecting previous psychopathological status), psychiatric antecedents (depression, anxiety, or psychotic disorder), demographic characteristics (age, gender, and years of formal education), medical antecedents (vascular risk factors, smoking, and alcohol consumption) and stroke location (right hemispheric,

FIGURE 1. Mean Neuropsychiatric Inventory (NPI) Global Score and Caregiver's Distress Score at Baseline and 4, 12, and 26 Weeks Post-Stroke (N=55)



*Mean scores are significantly elevated as compared with baseline assessment (Student's *t*-test; p<0.05).

**Mean score on NPI at Week 12 is significantly diminished as compared with mean score at Week 4 (Student's *t*-test, p < 0.05).

NPI: NPI Global Score; Careg distr: Caregiver's Distress NPI subscale score.

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left hemispheric, and brainstem/cerebellum). Qualitative variables were recodified as dummy variables to be introduced in the linear-regression models.

The following NPI-4W subscales produced valid regression models: Agitation, Dysphoria, Apathy, Disinhibition, Irritability, Motor Disturbance, Sleep Disturbance, and Eating Disturbance (Table 5). Predictive factors for PSs at 4 weeks were younger age, male gender, right-hemispheric location of the CI, and baseline NPI scores. Younger age was a predictive factor for Agitation and Disinhibition, whereas male gender was a predictive factor for Agitation and Irritability. Righthemispheric location of the CI predicted the development of Dysphoria, Apathy, Disinhibition, and Sleep Disturbance, although its coefficient value was only significant for Sleep Disturbance.

The related baseline NPI subscale score was a predictive factor for the behavior studied at 4 weeks after stroke in every model except in Disinhibition and Sleep Disturbance (e.g., a high baseline NPI score for Dysphoria was a risk factor for having a high score for Dysphoria in the NPI-4W). Apathy at Week 4 was also predicted by a higher score in other baseline NPI subscales (Agitation and Dysphoria). Moreover, a history of hazardous/harmful alcohol consumption was a risk factor for Eating Disturbance, whereas antecedents of depression predicted the occurrence of Sleep Disturbance.

At 26 weeks after stroke, we found valid regression models for Agitation, Dysphoria, Anxiety, Apathy, Irritability, and Sleep Disturbance (Table 5). Antecedent depression, right-hemispheric location, and scores in the baseline NPI subscales of Agitation, Anxiety, Irritability, and Dysphoria emerged as predictive variables in these models. Right-hemispheric location persisted as a predictive factor for Dysphoria and Apathy, with a significant coefficient value for Dysphoria (p=0.03). Previous history of depression was a risk factor for Dysphoria and Agitation on the NPI-26W, but it made Irritability less probable.

The percentage of variance explained was higher at 26 weeks than at 4 weeks in the models for Agitation, Dysphoria, Apathy, and Irritability, with more than 50% of the variance explained by the models of agitation and dysphoria.

DISCUSSION

Nearly 70% of patients with CI presented some grade of psychopathology in the 4 weeks after stroke onset, as assessed by means of the NPI through a reliable informant. This percentage fell to 55.5% 6 months later. Dysphoria and apathy were the most frequent and persistent symptoms, followed by anxiety, irritability, sleep disturbance, and agitation. These results are consistent with previous reports,^{6,26,27} although some differences were present in the frequency of specific symptoms, probably due to methodological factors.

The same percentage of patients had detectable PSs at 4 and 12 weeks after stroke, but the intensity of these symptoms, determined by the mean NPI global score, showed a significant decrease by Week 12 and afterward remained almost unchanged.

In this study, the NPI was used not only for evaluating poststroke affective and behavioral disorders, but also to assess previous psychopathology, in order to evaluate its influence on the occurrence of PSs after stroke. Linearregression analysis showed that the corresponding subscale score in the baseline NPI was a predictive factor for the most frequent symptoms after stroke, including dysphoria and apathy. Therefore, the development of some specific PSs probably depends, to some extent, on patients' previous affective and behavioral status.

Correlation analysis showed that PSs were related to patients' cognitive and functional status in the early

TABLE 4.	Correlation Among Neuropsychiatric Inventory (NPI) Subscales and Cognitive and Functional Scales at Week 4 After Stroke
	(N=89)

	Clock-Drawing	MMSE	FAB	Lawton Index	Modified Rankin Scale		
NPI (global) Caregiver distress		-0.293**		-0.273^{**} -0.225^{**}	0.285* 0.272**		
Dysphoria Apathy	-0.296**	-0.354*	-0.270**	-0.310^{*} -0.332^{*}	0.230** 0.266*		
Sleep disturbance Eating disturbance		-0.256^{**} -0.266^{**}	-0.244**	-0.253**	0.221**		

Values represent Pearson's correlation coefficients.

MMSE: Mini-Mental State Exam; FAB: Frontal Assessment Battery; only significant values are presented (* p <0.05; ** p<0.001).

poststroke period. However, in the follow-up, we observed that the only correlations remaining at Week 26 were those between dysphoria and apathy with one of the functional scales (the Lawton Index).

Using linear-regression analysis, we attempted to find out which factors of those that were assessable at stroke onset could be used to predict the occurrence of poststroke PSs. Younger age and male gender were predictive factors for disruptive behaviors (agitation, irritability, and disinhibition) during the first month after stroke. Right-hemispheric location and related baseline NPI subscale scores were the most consistently encountered factors across different models. It must be noted that right-hemisphere location and baseline dysphoria predicted the occurrence of dysphoria both at 4 and 26 weeks after stroke; therefore, these factors can be considered reliable predictors of the development of depressive symptomatology after a CI. Furthermore, right-hemisphere location also predicted the occurrence of apathy both in the early and delayed period after stroke.

In the first month poststroke, right-hemisphere location of CI was also a predictive factor for disinhibition and sleep disturbance. It has been reported that changes in sleep structure, with a reduced REM-to-NREM ratio, are more frequent in patients with right-sided CI;²⁷ such alterations could contribute to the occurrence of nocturnal behavioral symptoms.

Other reports have also described right-hemisphere location of CI as a risk factor for some PSs, including depression, agitation, and apathy.²⁸⁻³⁰ However, in contrast to our findings, most studies have reported an association between left-hemisphere lesions and depression in the early poststroke period, whereas poststroke depression in the chronic phase seems to be more frequent in patients with right-sided lesions.³¹ Several reasons have been postulated to explain this discrepancy, including different pathogenetic mechanisms for depression in the acute and chronic phases of stroke, but the measures used to assess depressive symptoms may also have an influence. Patients with right-hemisphere lesions may have indifference to their own symptoms, particularly in the acute phase, a fact that can result in underreporting of depressive symptoms in self-report measures.³¹ On the other hand, patients with

	Week 4 After Stroke					Week 26 After Stroke				
Dependent Variable		β	р	Model Properties					Model Properties	
	Predictive Variables			R ²	р	Predictive Variables	β	р	R ²	р
Agitation	Agitation-B Age Male	$0.185 \\ -0.227 \\ 0.324$	0.073 0.028 0.001	0.203	< 0.001	Agitation-B Depression-Ant	0.674 0.237	<0.001 0.015	0.548	< 0.001
Dysphoria	Right hemispheric Dysphoria-B	0.187 0.425	0.064 <0.001	0.181	< 0.001	Right hemispheric Dysphoria-B Agitation-B Depression-Ant	0.231 0.381 -0.299 0.296	0.030 0.039 0.073 0.073	0.503	<0.001
Anxiety						Anxiety-B	0.426	0.001	0.181	0.001
Apathy	Right hemispheric Apathy-B Agitation-B Dysphoria-B	$\begin{array}{c} 0.182 \\ 0.397 \\ -0.219 \\ 0.231 \end{array}$	0.056 0.002 0.027 0.057	0.294	< 0.001	Right hemispheric Anxiety-B	0.209 0.569	0.077 <0.001	0.325	< 0.001
Disinhibition	Right hemispheric Age	$0.195 \\ -0.339$	0.058 0.001	0.135	0.002					
Irritability	Irritability-B Male	0.254 0.266	0.014	0.126	0.003	Irritability-B Anxiety-B Depression-Ant	$0.418 \\ 0.696 \\ -0.558$	0.002 <0.001 0.002	0.315	< 0.001
Sleep disturbances	Right hemisphere Anxiety-B Irritability-B Depression-Ant	$\begin{array}{r} 0.277 \\ -0.188 \\ 0.514 \\ 0.166 \end{array}$	0.003 0.061 <0.001 0.089	0.318	< 0.001	Irritability-B	0.304	0.024	0.092	0.024
Eating disturbances	Alcohol Eating disturbances-B	0.201 0.230	0.054 0.027	0.096	0.013					

-B: NPI subscale score at baseline evaluation; -Ant: antecedents in medical records.

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left-hemisphere lesions frequently present with aphasia, which may be a limitation for the psychopathological evaluation. The NPI, which has been the main tool for PSs assessment in our study, may be adequate for this type of patient, as it obtains information through the caregiver, and the questionnaire refers to both verbal and nonverbal patients' expressions of a depressed mood. In our study, the assessment with the NPI could be completed in patients with aphasia, but we were unable to administer Hamilton's tests (Ham-D and Ham-A, which are patient-referred) to five individuals with a severe language disorder.

It is also important to note that the NPI is intended to evaluate the presence of PSs, but not to diagnose clinical syndromes, as depression. Thus, it cannot be assumed that patients with detected dysphoria in our study actually have poststroke depression. Nevertheless, the Ham-D, which has been previously validated in stroke patients,¹⁶ was used in our patients, in parallel with the NPI, in poststroke assessments, showing a high correlation with the NPI subscale for dysphoria, which indicates that there was good concurrent validity.

Regarding to the practical consequences of our study, it is clear that previous psychopathological status and psychiatric antecedents are important to predict the development of PSs after stroke, but the adequate instruments for psychopathological evaluation must be defined. NPI could be valid for this purpose, but some clinicians could find it time-consuming. However, some of its subscales did not provide any useful information (on hallucinations, delusions, euphoria, motor disturbances, sleep disturbances) in the population studied and could be avoided in these patients. Moreover, a brief questionnaire form of the NPI, the NPI–Q, has been developed as a more appropriate tool for neuropsychiatric assessment in clinical practice.³²

In summary, this study has shown a high prevalence of PSs, mainly depressive symptoms and apathy. These disorders were associated with worse cognitive and functional conditions in the post-acute period, but only with functional status in the long term. PSs were more severe in the first month after stroke, but tended to improve with time until reaching a plateau around the third month. Younger age and male gender are risk factors for disruptive behaviors in the early poststroke period, whereas right-hemisphere location of CI and previous related psychopathology were the best predictive indicators for the occurrence of dysphoria/depression and apathy.

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